# Plan Overview

*A Data Management Plan created using DMPonline*

**Title:** The implications of the interaction between EU fiscal instruments and welfare states for access to social protection

**Creator:** João Paulo Magalhães

**Principal Investigator:** João Paulo Magalhães

**Data Manager:** João Paulo Magalhães

**Affiliation:** University of Edinburgh

**Funder:** Economic and Social Research Council (ESRC)

**Template:** UoE Default DMP template for PGRs

**ORCID iD:** 0000-0001-7729-8579

**Project abstract:**
   This PhD research project is funded by the Economic and Social Research Council of the
   United Kingdom and the Scottish Funding Council. This research assesses the implications of
   the interaction between the institutional design of European Union (EU) fiscal governance and
   redistributive policy and the welfare and labour market characteristics of the Member States,
   for the implementation of EU social policies. This is done by looking to the institutional
   changes that the Council Recommendation for access to social protection for workers and the
   self-employed adopted in 2019 produced in the Member States, through the national social
   policymaking outcomes emerging from the context and the levels of discretion in enforcing
   the implementation of such policies.

**ID:** 186020

**Start date:** 09-09-2024

**End date:** 30-09-2028

**Last modified:** 09-09-2025

**Grant number / URL:** ES/P000681/1

**Copyright information:**
   The above plan creator(s) have agreed that others may use as much of the text of this plan
   as they would like in their own plans, and customise it as necessary. You do not need to credit
   the creator(s) as the source of the language used, but using any of the plan's text does not
   imply that the creator(s) endorse, or have any relationship to, your project or proposal

# The implications of the interaction between EU fiscal instruments and welfare states for access to social protection

## Administrative Information

### 1) School or Institute

- CAHSS - School of Social and Political Science

### 2) Name and Contact details of supervisor(s)

Dr. Eleanor Brooks [Eleanor.Brooks@ed.ac.uk]
Dr. Elke Heinz [elke.heinz@ed.ac.uk]

### 3) Project start date

2024-09-09

### 4) Project end date

2027-09-09

## Data Collection

### 5) Data Collection

The data will be collected from official documents and official meeting records from the EU institutions, and semi-structured interviews with key informants at the EU and national level from public institutions and civil society and business organisations.

Data collection for official documents and official meeting records will be done through the EU institutions, in particular the European Commission, the European Council, the Council of the European Union, the European Parliament, the European Committee of the Regions, and the European Social and Economic Committee. In addition, data will be collected from semi-structured interviews to be conducted with representative actors from the EU institutions and other relevant civil society and business organisations at the EU level, and national delegations of the countries under study. These data will focus on the period between 2020 and 2024.

## Documentation & Metadata

## 6) Documentation & Metadata

Although the number of people directly involved will be kept to a minimum (the principal investigator and the supervisors), the Principal Investigator will share a data management plan to ensure data confidentiality and safety, as well as this ethics data review.  The data will be stored on the DataStore platform of the University of Edinburgh, linked to the Principal Investigator student account. This storage platform is automatically encrypted and password protected and has its own back-ups services. This is the procedure previously applied to the MSc thesis of the Principal Investigator within the School of Social and Political Science, considering the level of sensitivity of the data and the anonymization process that is carried out.

# Ethics & Legal Compliance

## 7) Ethics & Legal Compliance

This project is accompanied by an ethical review process (ID 4801), submitted and approved by the School of Social and Political Sciences of the University of Edinburgh, that includes a participant information sheet and a informed consent form. The ethical risks of this research will be minimum considering both the key informants that will be interviewed and the content of the data that is going to be collected.

In addition, the data collection and management process consists of the following:

- Data will be collected using encrypted/secure tools
- All data will be stored in encrypted folders on the University of Edinburgh's secure server (DataStore) and will be password-protected.
- Digital notebooks will be used for field notes using MS OneNote software.
- The principal investigator has received training in data security standards.
- Laptops/devices used to access DataStore will be encrypted and/or password protected and kept behind lock and key when not in use.
- All data analysis will be conducted by accessing DataStore directly to reduce the risk of accidental loss/deletion of data

Personal data:

- Personal data will be stored separately from research data.
- Written informed consent for data sharing will be sought from participants taking part in the interviews.

# Storage and Back-Up

## 8) Where will your data be stored and backed-up during the project?

The data will be stored on the DataStore platform of the University of Edinburgh, linked to the Principal Investigator student account. This storage platform is automatically encrypted and password protected and has its own back-ups services. This is the procedure previously applied to the MSc thesis of the Principal Investigator within the School of Social and Political Science, considering the

level of sensitivity of the data and the anonymization process that is carried out.

Regarding interviews' data, after conducting them, the recorded data will be immediately uploaded to the DataStore platform in the Principal Investigator account of the University of Edinburgh. The original file will be deleted from the recording device. In addition, a code will be attached to each data file in order to not disclaim the interviewer. The code list will be stored in the DataStore platform.

## Selection and Preservation

### 9) Where will the data be stored long-term?

The interviews' raw data will be kept for 36 months after the end of the PhD project (expected in 30/09/2027), or after all publications have been published (if not within the 36-month period). The data will continue to be stored in the DataStore Principal Investigator's account. The access is provided to the research team.

### 10) Which data will be retained long-term?

Question not answered.

## Data Sharing

### 11) Will the data produced from your project be made open?

- No: go to 13

### 13) Please explain why your data cannot be made open.

For ehtical considerations, the data will not be published publicly, but can be used for other academic and publishing purposes.

## Responsibilities & Resources

### 14) Who will be responsible for the research data management of this project?

The principal investigator - João Paulo Magalhães

**15) Will you require any training or resources to properly manage your research data throughout this project?**

The researcher has undertaken all required and recommended Data Management trainings. No further trainings are expected at this time.

Created using DMPonline. Last modified 09 September 2025

5 of 5